Approved For Release 2008/09/02 : CIA-RDP85B01152R000801050027-7

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DEPARTMENT OF THE TREASURY OFFICE OF THE GENERAL COUNSEL WASHINGTON, D.C. 20220

JUN 1 0 1983

MEMORANDUM FOR THE HONORABLE ROBERT M. KIMMITT Executive Secretary (National Security Council)

DD/A Registry 83-0235/9

FROM: Jordan Luke

Assistant General Counsel (Enforcement & Operations)

SUBJECT:

Prepublication and Nondisclosure Agreements Required

Under NSDD-34

This is in response to Steven Garfinkel's (Director, Information Security Oversight Office) letter to Cora P. Beebe (Assistant Secretary, Administration) (May 26, 1983) requesting Treasury's comments on the "most recent drafts of the three forms designed to implement the nondisclosure provisions of National Security Decision Directive 84 . . . " Summarized below are our comments with respect to each of the three forms:

1. Sensitive Compartmented Information Nondisclosure Agreement

The only comment we have with respect to this form is to note that the proposed substitution for Paragraph 5 of the proposed SCI nondisclosure agreement included in Mr. Garfinkel's letter to Ms. Beebe (June 2, 1983), may unduly restrict the information that an agency can delete from a proposed publication. Specifically, as now phrased, Paragraph 5(a) would require the individual to submit for prepublication review only classified "intelligence reports or estimates." The May 26, 1983 draft form would have required the individual to submit any "classified information." Since "classified information" encompasses "classified intelligence reports or estimates," we do not believe this restriction is warranted. Furthermore, NSDD-84 mandates deletion of "SCI and other classified information." We recommend that the language in Paragraph 5(a) in the May 26, 1983 version of this form be retained with a parenthetical that it includes "classified intelligence reports or estimates."

2. Classified Information Nondisclosure Agreement

Our primary concern with the proposed nondisclosure agreement is that the assignment of interests clause (Paragraph 5) affords persons without authorized access to SCI no opportunity to have their proposed publications reviewed to assure that non-SCI classified information has been deleted. While we agree that no one should be allowed to profit from the unauthorized disclosure of classified information, we also believe that persons who may wish to publish materials should have the same opportunity as persons with access to SCI to have their materials reviewed to assure that classified information has been deleted. We see no reason why a "publish at your peril" limitation should apply to persons with access to classified information but not to persons with access to SCI. Therefore, we suggest that Paragraph 5 be revised to apprise signators that they may submit proposed

publications for review in order to assure that classified material has been deleted.

3. Prepublication Review Agreement

Insofar as the propsed prepublication review agreement purports to cover persons without access to SCI, the proposed agreement is clearly ultra vires National Security Decision. Directive No. 84. Since NSDD-84 covers prepublication review for persons with authorized access to SCI only, we do not believe consideration of this form is appropriate in the context of approving forms required under that directive. Therefore, we recommend that this form be deleted from those that are submitted to the Department of Justice pursuant to NSDD-84.

Finally, we note that under NSDD-84 the only requirement is that the forms each agency adopts be reviewed by the Department of Justice to assure that they are enforceable in a civil action. Therefore, we reserve the right to adopt a different form (approved by Justice) if the ISOO form(s) is not suitable to Treasury's particularized needs.

cc: See attached list

Honorable Cora P. Beebe Assistant Secretary (Administration) Department of the Treasury

General Richard G. Stilwell, USA (Ret.)
Deputy Under Secretary of Defense for Policy
The Pentagon, Room 2E812
Washington, DC 20301

Honorable John R. Burke
Deputy Assistant Secretary
for Classification/Declassification (A/CDC)
Department of State, Room 2811
Washington, DC 20520

Mr. Harry E. Fitzwater
Deputy Director for Administration
Central Intelligence Agency
Washington, DC 20505

Honorable Kevin D. Rooney Assistant Attorney General for Administration Department of Justice Constitution Avenue and Tenth Street, NW Washington, DC 20530

Mr. Robert T. Duff Director, Office of Classification Department of Energy Washington, DC 20545

Mr. Kenneth E. deGraffenreid Staff Member National Security Council Old Executive Office Building Washington, DC 20506

Ms. Brenda Reger National Security Council Old Executive Office Building Washington, DC 20506

Richard Willard, Esq.
Deputy Assistant Attorney General
Civil Division
Department of Justice
Washington, DC 20530

Mr. Steven Garfinkel
Director, Information Security
Oversight Office
General Services Administration - Z
18th and F Streets, NW (Rm. 6046)
Washington, DC 20405

May 26, 1983

Honorable Cora P. Beebe Assistant Secretary (Administration) Department of the Treasury 15th and Pennsylvania Avenue, NW Washington, DC 20220

Dear Ms. Beebe:

I enclose for your review copies of the most recent drafts of the three forms designed to implement the nondisclosure provisions of National Security Decision Directive 84, "Safeguarding National Security Information." These drafts reflect the product of the working group meeting on May 19, 1983.

At that meeting I announced that the member agencies of the working group would be given an opportunity to address policy issues reflected in the draft forms to the staff of the National Security Council before I submitted the final drafts to the Department of Justice for an enforceability review.

Copies of your comments should be addressed simultaneously to the officials listed in the attachment to this letter, so that these agencies may have an opportunity to respond to the issues you have raised. These subsequent responses should be addressed to Mr. Kimmitt

Please address you questions to Ethel Theis or me at 535-7251.

flel

Sincerely,

STEVEN GARFINKEL

Director

Enclosures

Assistant Secretary (Administration)
Department of the Treasury
15th and Pennsylvania Avenue, NW
Washington, DC 20220

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Deputy Under Secretary of Defense for Policy
The Pentagon, Room 2E812
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Director, Information Security
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General Services Administration - Z
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Washington, DC 20405

SENSITIVE COMPARTMENTED INFORMATION NONDISCLOSURE AGREEMENT

An	Agreement			the	United	States
		(Name-Printed or Typed)	Ī.			

- 1. Intending to be legally bound, I hereby accept the obligations contained in this Agreement in consideration of my being granted access to information protected within Special Access Programs, hereinafter referred to as Sensitive Compartmented Information (SCI). I have been advised that SCI involves or derives from intelligence sources or methods and is classified or classifiable under the standards of Executive Order 12356 or other Executive order or statute. I understand and accept that by being granted access to SCI, special confidence and trust shall be placed in me by the United States Government.
- 2. I hereby acknowledge that I have received a security indoctrination concerning the nature and protection of SCI, including the procedures to be followed in ascertaining whether other persons to whom I contemplate disclosing this information have been approved for access to it, and I understand these procedures. I understand that I may be required to sign subsequent agreements upon being granted access to different categories of SCI. I further understand that all my obligations under this Agreement continue to exist whether or not I am required to sign such subsequent agreements.
- 3. I have been advised that direct or indirect unauthorized disclosure, unauthorized retention, or negligent handling of SCI by me could cause irreparable injury to the United States or be used to advantage by a foreign nation. I hereby agree that I will never divulge such information unless I have officially verified that the recipient has been properly authorized by the United States Government to receive it or I have by the United States Government to peartment or Agency) that last greated as either institute of the Department or Agency) that last greated as either institute of the Department or Agency) that last greated as either institute of the Department or Agency) that last greated as either institute of the Department or Agency) that last greated as either institute of the Department or Agency) that last greated as either institute of the Department or Agency) that last greated as either institute of the Department or Agency that last greated as either institute of the Department of the Department or Agency that last greated as either institute of the Department of t
- 4. I further understand that I am obligated by law and regulation not to disclose any classified information in an unauthorized fashion.

 As used in this Agreement, classified information is information that is classified under the standards of E.O. 12356, or any other Executive order or statute that prohibits the unauthorized disclosure of information in the interest of national security.
- 5. In consideration of being granted access to SCI and of being assigned or retained in a position of special confidence and trust requiring access to SCI and other classified information, Thereby the Boundary along the Bo

8 or that I have prepared for public disclosure, which 9 suggest to contain.

10 11 -discussion of intelligence data, activities 12 to which I had access in the course employment, contract or other relationship 13 United States Government. 14

I understand and agree that my obligation to submit such information 15 and materials for review applies during the course of my access to 16 SCI and at all times thereafter, and I agree to make any required 17 submissions prior to discussing the information with, or showing 18 19 it to anyone who is not authorized to have access to such information. I further agree that I will not disclose such materials or information 20 unless I have officially verified that the recipient has been properly 21 authorized by the United States Government to receive it or I have 22 been given written authorization from the Department or Agency that 23 last granted me either a security clearance or an SCI access approval 24 that such disclosure is permitted.

I understand that the purpose of the review described in paragraph 5 1 . is to give the United States a reasonable opportunity to determine 2 3 whether the information or materials submitted pursuant to paragraph 5 set forth any SCI or other information that is subject to classification 4 under E.O. 12356 or other Executive order or statute that prohibits 6 the unauthorized disclosure of information in the interest of national security. A farther understand that the Department or Agency to 7 with the second 8 9 side the little problem in the second 10 mains in the second to me within 20 weeking the 11

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- 7. I have been advised that any breach of this Agreement may result 1 2 in the termination of any security clearances and SCI access approvals that I may hold; removal from any position of special confidence 3 and trust requiring such clearances or access approvals; as well 4 5 as the termination of my employment or other relationships with the Departments or Agencies that granted my security clearances or 6 SCI access approvals. In addition, I have been advised that any 7 unauthorized disclosure of SCI or other classified information by me 8 may constitute violations of United States criminal laws, including 9 the provisions of Sections 793, 794, 798, and 952, Title 18, United States Code, and of Section 783(b), Title 50, United States Code, and 10 11 the provisions of the Intelligence Identities Protection Act of 1982. 12 Nothing in this Agreement constitutes a waiver by the United States 13 of the right to prosecute me for any statutory violation. 14
- In addition, Table aby assign to the United States Government 1 8. tioned this beauty and all royalties, remanerations, 2 lune the three boundary of the could be the could 3 4 inchian and another in not consistent

MAY 26 383

- 9. I understand that the United States Government may seek any remedy available to it to enforce this Agreement including, but not limited to, application for a court order prohibiting disclosure of information in breach of this Agreement. I have been advised that the action can be brought against me in any of the several appropriate United States District Courts where the United States Government may elect to file the action. Court costs and reasonable attorneys fees incurred by the United States Government may be assessed against me if I lose such action.
- I understand that all information to which I may obtain access by signing this Agreement is now and will forever remain the property of the United States Government. I do not now, nor will I ever, possess any right, interest, title, or claim whatsoever to such 4 information. I agree that I shall return all materials which may have come into my possession or for which I am responsible because of such access, upon demand by an authorized representative of the United States Government or upon the conclusion of my employment or 8 other relationship with the United States Government Department or Agency that last granted me either a security clearance or an SCI 10 access approval. If I do not return such materials upon request, I 11 understand that this may be a violation of Section 793, Title 18, United States Code, a United States criminal law.
- 1 11. Unless and until I am released in writing by an authorized representative of the Department or Agency that last granted me either a security clearance or an SCI access approval, I understand that all conditions and obligations imposed upon me by this Agreement apply during the time I am granted access to SCI and at all times thereafter.
- 1 12. Each provision of this Agreement is severable. If a court should 2 find any provision of this Agreement to be unenforceable, all other 3 provisions of this Agreement shall remain in full force and effect.
- 1 13. I have read this Agreement carefully and my questions, if any, have been answered to my satisfaction. I acknowledge that the briefing officer has made available Sections 793, 794, 798, and 952 of Title 18, United States Code, Section 783(b) of Title 50, United States Code, the Intelligence Identities Protection Act of 1982, and Executive Order 12356 so that I may read them at this time, if I so choose.
- 1 14. I make this Agreement without any mental reservation or purpose of evasion.

SIGNATURE		DATE	

SOCIAL SECURITY NUMBER (SEE NOTICE BELOW)

ORGANIZATION

Approved For Release 2008/09/02 : CIA-RDP85B01152R000801050027-7 26 1983

1 The execution of this Agreement was witnessed by the undersigned,

2 who accepted it on behalf of the United States Government as a prior

3 condition of access to classified information.

certifications or determinations.

WITNESS and ACCEPTANCE:

SIGNATURE

DATE

ORGANIZATION

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NOTICE: The Privacy Act, 5 U.S.C. 552a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that authority for soliciting your Social Security Account Number (SSN) is Executive Order 9397. Your SSN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above or 2) determine that your access to the information indicated has terminated. Although disclosure of your SSN is not

mandatory, your failure to do so may impede the processing of such

CLASSIFIED INFORMATION NONDISCLOSURE AGREEMENT

An Agreement Between _____ and the United States _____ (Name-Printed or Typed)

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- 1. Intending to be legally bound, I hereby accept the obligations contained in this Agreement in consideration of my being granted excess to electified information. As used in this Agreement, classified information is information that is classified or classifiable under the standards of Executive Order 12356, or any other Executive order or statute that prohibits the unauthorized disclosure of information in the interest of national security. I understand and accept that by being granted access to classified information, special confidence and trust shall be placed in me by the United States Government.
- I hereby acknowledge that I have received a security indoctrination concerning the nature and protection of classified information, including the procedures to be followed in ascertaining whether other persons to whom I contemplate disclosing this information have been approved for access to it, and I understand these procedures.
- 3. I have been advised that direct or indirect unauthorized disclosure, 2 unauthorized retention, or negligent handling of classified information by me could cause irreparable injury to the United States or be used 4 to advantage by a foreign nation. I hereby agree that I will 5 divalue such information unless I have officially verified that the recipient has been properly authorized by the United States 7 Government to receive it or I have been given prior written authorization 8 from the United States Government Department or Agency (hereinafter Department or Agency) that last granted me a security clearance that such disclosure is permitted. I further understand that I am 10 obligated by law and regulation not to disclose any classified 11 12 information in an unauthorized fashion.
- I have been advised that any breach of this Agreement may result in the termination of any security clearances I may hold; removal from any position of special confidence and trust requiring such 3 clearances; as well as the termination of my employment or other 5 relationships with the Departments or Agencies that granted my security. 6 clearances. In addition, I have been advised that any unauthorized disclosure of classified information by me may constitute violations 7 of United States criminal laws, including the provisions of Sections 793. 8 794, 798, and 952, Title 18, United States Code, Section 783(b). Title 50, United States Code, and the provisions of the Intelligence 10 Identities Protection Act of 1982. Nothing in this Agreement constitutes 11 a waiver by the United States of the right to prosecute me for any 12 statutory violation. 13
- 5. In addition, I hereby weeign to the United States Government any rights, title and interest, and all payalties, remunerations, and a confidence to the constitution, will sould see account from any disclosure, publication, or revelution not consistent with the

MAY 26 1983

I understand that the United States Government may seek any remedy available to it to enforce this Agreement including, but not limited to, application for a court order prohibiting disclosure of information in breach of this Agreement. I have been advised 4 that the action can be brought against me in any of the several 5 appropriate United States District Courts where the United States 6 Obvernment may elect to file the action. Court costs and reasonable 7 attorneys fees incurred by the United States Government may be 8 assessed against me if I lose such action.

- 7. I understand that all information to which I may obtain access 1 2 by signing this Agreement is now and will forever remain the property of the United States Government. I do not now, nor will I ever, 3 possess any right, interest, title, or claim whatsoever to such information. I agree that I shall return all materials, which may 5 have come into my possession or for which I am responsible because 6 of such access, upon demand by an authorized representative of the 7 United States Government or upon the conclusion of my employment or 8 other relationship with the United States Government Department or Agency that last granted me a security clearance. If I do not return 10 such materials upon request, I understand that this may be a violation 11 of Section 793, Title 18, United States Code, a United States 12 13 criminal law.
- Unless and until I am released in writing by an authorized 1 representative of the Department or Agency that last granted me 2 a security clearance, I understand that all conditions and obligations 3 imposed upon me by this Agreement apply during the time I am granted 4 access to classified information, and at all times thereafter. 5
- Each provision of this Agreement is severable. If a court 1 should find any provision of this Agreement to be unenforceable, all 2 other provisions of this Agreement shall remain in full force and 3 effect.
- 10. I have read this Agreement carefully and my questions, if any, have been answered to my satisfaction. I acknowledge that 2 the briefing officer has made available Sections 793, 794, 798, and 3 952 of Title 18, United States Code, Section 783(b) of Title 50, United States Code, the Intelligence Identities Protection Act 5 of 1982, and Executive Order 12356, so that I may read them at this 6 time, if I so choose.
- 11. I make this Agreement without any mental reservation or purpose 2 of evasion.

SIGNATURE	DATE	_

SOCIAL SECURITY NUMBER (SEE NOTICE BELOW)

ORGANIZATION

- 1 The execution of this Agreement was witnessed by the undersigned,
- 2 who accepted it on behalf of the United States Government as a
- 3 prior condition of access to Sensitive Compartmented Information.

WITNESS and ACCEPTANCE:

SIGNATURE	DATE	_

ORGANIZATION

- 1 NOTICE: The Privacy Act, 5 U.S.C. 552a, requires that federal
- 2 agencies inform individuals, at the time information is solicited
- from them, whether the disclosure is mandatory or voluntary, by
- 4 what authority such information is solicited, and what uses will
- 5 be made of the information. You are hereby advised that authority
- 6 for soliciting your Social Security Account Number (SSN) is Executive
- 7 Order 9397. Your SSN will be used to identify you precisely when
- 8 it is necessary to 1) certify that you have access to the information
- 9 indicated above or 2) determine that your access to the information
- 10 indicated has terminated. Although disclosure of your SSN is not
- 11 mandatory, your failure to do so may impede the processing of such
- 12 certifications or determinations.

Approved For Release 2008/09/02 : CIA-RDP85B01152R000801050027-7

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PREPUBLICATION REVIEW AGREEMENT

An Agreement Between	and the United States
(Name - Printed	or Typed)
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is to give the United States a real whether the information or material set forth any classified information because them coordinating with other coordinations.	als submitted pursuant to paragraph 1 ion. I further understand that the ave submitted materials will act
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1 The execution of this Agreement w 2 who accepted it on behalf of the 3 prior condition of access to clas	United States Government as a

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WITNESS and	ACCEPTANCE:
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or determinations.

SIGNATURE

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